SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028								
Estimated average burden								
hours per response	: 0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See \square Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	(State)	(Zip) able I - No	n-Derivative \$	Securities Acq	uired, Dis	posed of, or Bene	ficially	Owned			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
(City)							1				
PLANO	TX	75024						Form filed by M Person			
(Street)			4. 11 /	Amenumeni, Dale o	i Original File	d (Month/Day/Year)	Line)	idual or Joint/Gro Form filed by O			
SUITE 200			4 16	Amondmont Data a	f Original File	d (Manth (Day (Maay)	C India	idual as laint/Cra		Appliaghla	
(Last) 4949 HEDGCO	(First) XE ROAD	(Middle)		ate of Earliest Trans 9/2021	action (Month	/Day/Year)		w)			
1. Name and Address of Reporting Person [*] <u>CHEN C H</u>			suer Name and Tick				tionship of Report all applicable) Director Officer (give title	10%	o Issuer Owner er (specify		

			(Month/Da	(Month/Day/Year) If any (Month/Day/Year)		8)		5)			Owned Following Reported		(l) (Instr. 4)		Ownership (Instr. 4)	
						Code	v	Amount	(A) or (D)	Price	Transact (Instr. 3 a	tion(s)			insu. 4)	
Diodes Incorporated Common Stock		11/19/2	11/19/2021		S	5	4,000	D	\$110.3	25,000		I		Trident Trust Company, Trustee of Chen Family Trust		
Diodes Ir	Diodes Incorporated Common Stock										98,	629	D			
		Tal	ble II - Derivat (e.g., pı		curities Acqu ls, warrants,						Owned	ł				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (In 8)		6. Date Expirat (Month	tion Da		7. Title a Amount Securitie Underlyi Derivatin Security 3 and 4)	of Des Seing (II ve (Instr.	Price of erivative ecurity 1str. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transactie (Instr. 4)	e Ow s Foi illy Dir or g (i)	nership	Beneficial Ownership (Instr. 4)	

Date

Exercisable

Explanation of Responses:

Remarks:

Brett R. Whitmire as Power of 11/22/2021

Attorney for C.H. Chen

Amount or Number

Shares

of

Title

Expiration Date

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

and 5)

(A)

(D)

v

Code