SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

L	-	-									
	OMB Number:	3235-0287									
	Estimated average burden										
	hours per response:	0.5									

obligations i Instruction 1	may continue. See L(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response:		0.5		
	-(-)-		or Section 30(h) of the Investment Company Act of 1940		-			
1. Name and Address of Reporting Person [*] Whitmire Brett R			2. Issuer Name and Ticker or Trading Symbol <u>DIODES INC /DEL/</u> [DIOD]		ationship of R k all applicabl Director Officer (giv	le)	son(s) to Issuer 10% Owner Other (spec	
(Last) 4949 HEDG SUITE 200	(First) COXE ROAD		3. Date of Earliest Transaction (Month/Day/Year) 08/11/2020		below)	CFO	below)	
			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Join	int/Group Filing (Check Applic		able
(Street) PLANO	TX	75024		Line)		by One Repo by More than	orting Person One Reporting	g
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Securities Disposed O	Acquire		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	((
Diodes Incorporated Common Stock	08/11/2020		S		1,549	D	\$52.0181 ⁽¹⁾	21,750	D	
Diodes Incorporated Common Stock - Performance Stock Units								22,800	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

												,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	onversion Date Execution Date, Transa r Exercise (Month/Day/Year) if any Code ((Month/Day/Year) (Month/Day/Year) 8)					Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.01 to \$52.0301, inclusive. The reporting person undertakes to provide to Diodes Incorporated, any security holder of Diodes Incorporated, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

Remarks:

Brett R. Whitmire

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 C

08/14/2020