SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

						or Sec	uon 30	J(II) OI	the inv	/estme	nt Company	ACL OF 1	940							
1. Name and Address of Reporting Person [*] LU KEH SHEW						2. Issuer Name and Ticker or Trading Symbol <u>DIODES INC /DEL/</u> [DIOD]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 4949 HEDGCOXE ROAD						3. Date of Earliest Transaction (Month/Day/Year) 06/11/2021									X Officer (give title Other (specify below) below) President & CEO					
SUITE 2	.00				_	1 If Am	endme	ent Da	ate of C)riginal	Filed (Mont	h/Day/Ye	ear)	6	Individual or Joi	nt/Group Filing	(Check Ann	licable		
(Street) PLANO	T.	TX 75024					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(S	itate)	(Zip)												Person					
<u> </u>		т	able	I - Non-Der	ivat	ive Se	ecuri	ities	Acai	uired	Disnose	d of. d	or Bene	ficia	ally Owned					
1. Title of Security (Instr. 3)		2. Transactio Date (Month/Day/Y		2A. Deemed Execution Date,		ate,	3. Transaction Code (Instr. 8)		4. Securities Acq				5. Amount of Securities Beneficially Owned Following	6. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4)	Indirect	e of Beneficial nip (Instr.				
						(Montinibay/rear)		icui)	Code V		Amount	(A) or	(A) or Price		Reported Transaction(s)	(1) (11304)	-,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Diodes Ir	corporated	Common Stoc	k	06/11/202	21			_	M ⁽¹⁾	\square	5,000	(D) A	\$19.2	27	(Instr. 3 and 4) 399,238	D	_			
	-	Common Stoc		06/11/202					S ⁽²⁾	\square	4,200	D	\$77.00		395,038	D				
	1	Common Stoc		06/15/202					M ⁽¹⁾	$\left \right $	5,000	A	\$19.2		400,038	D	_			
	-	Common Stoc		06/15/202				_	S ⁽²⁾	\square	4,200	D	\$78.0		395,838	D				
	_	Common Stoc		00/13/202					3	\square	4,200		φ/ 0.0.	517	46,150	I	Custod	ial		
Diodes II	leorportited			<u> </u>				-		\vdash					40,150		Lu			
Diodes Incorporated Common Stock														421,671	I		children's			
Diodes Incorporated Common Stock															55,655	I	The Lu Founda	ı Family ation		
Diodes Incorporated Common Stock															4,700	Ι	The Lu Irrevoo Trust			
Diodes Incorporated Common Stock - Performance Stock Units														220,519	D					
			Tab	le II - Deriv																
1. Title of	2.	3. Transaction	34.	(e.g.,	4.	s, cai		umber			ns, conve	_	Securi			9. Number of	10.	11. Nature		
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Exe) if ar	cution Date, ly	Tran	saction e (Instr.	on of		Expiration e (Month/Day s		Date	Secu Deriv	Securities Under Derivative Securi (Instr. 3 and 4)		g Derivative Security (Instr. 5)	derivative Securities Beneficially Dwned Following Reported Fransaction(s) Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)		
					Cod	e V	(A)	(D)	Date	e rcisable	Expiration Date	n Title		Amou or Numb of Share	er					
					Cod	e V	(A)	(D)							s		<u> </u>			
05/21/12 DIOD NQSO	\$19.27	06/11/2021			M ⁽¹)		5,000	0 05/2	26/2013	8 05/21/202	2 Inco 2 Co St	iodes porated mmon ock - iodes	5,00	0 \$0.00	5,000	D			
05/21/12 DIOD NQSO	\$19.27	06/15/2021			M ⁽¹	,		5,000	0 05/2	26/2013	3 05/21/202	2 Inco 2 Co St	iodes porated mmon ock - iodes	5,00	0 \$0.00	0	D			

Explanation of Responses:

1. Exercised under a 10b5-1 plan.

2. Sold under a 10b5-1 plan.

Remarks:

Brett R. Whitmire as Power of Attorney for Keh-Shew Lu 06/15/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.