SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	Number: 3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LU KEH SHEW</u> (Last) (First) (Middle) 4949 HEDGCOXE ROAD			2. Issuer Name and Ticker or Trading Symbol <u>DIODES INC /DEL/</u> [DIOD]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	10% Owner		
			3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)		
			08/11/2022		President &	CEO		
SUITE 200								
(Street)			 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Indi	vidual or Joint/Group Fili	ng (Check Applicable		
(Street) PLANO	TX	75024		X	Form filed by One Re	porting Person		
,			-		Form filed by More th Person	an One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Code V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4)		Transaction(s)						
Diodes Incorporated Common Stock	08/11/2022		J ⁽¹⁾	7,718	A	\$0.00	78,183	Ι	The Lu Family Foundation
Diodes Incorporated Common Stock	08/11/2022		J ⁽¹⁾	7,718	D	\$0.00	189,666	I	Texastac Investments, LP
Diodes Incorporated Common Stock							66,188	D	
Diodes Incorporated Common Stock							46,150 ⁽²⁾	Ι	Custodial
Diodes Incorporated Common Stock							285,801	I	Lu Grandchildren's Trust
Diodes Incorporated Common Stock							4,700	Ι	The Lu 2017 Irrevocable Trust
Diodes Incorporated Common Stock - Performance Stock Units							242,584	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting person has transferred shares from Texastac Investments, LP to The Lu Family Foundation.

2. These shares are held in accounts for the reporting persons minor grandchildren and the reporting person is the custodian of those accounts. The reporting person disclaims ownership of the shares held in those custodial accounts, and this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purposes.

Remarks:

Brett R. Whitmire as Power of 08/11/2022

Attorney for Keh-Shew Lu

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.