SEC	Form 4
-----	--------

 $\Box$ 

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

55,655

4,700

220,519

8. Price of

Derivative Security (Instr. 5)

\$0.00

\$0.00

\$0.00

7. Title and Amount of

Securities Underlying Derivative Security (Instr. 3 and 4)

Amount Number

Shares

2,398

20,000

10,000

of

I

I

D

10.

Ownership Form:

Form: Direct (D) or Indirect (I) (Instr. 4)

D

D

D

9. Number of

derivative Securities

Beneficially

Beneficially Owned Following Reported Transaction(s) (Instr. 4)

50,000

30,000

20,000

Foundation The Lu 2017

Irrevocable Trust

11. Nature

of Indirect Beneficial

Ownership

(Instr. 4)

Check this box if no longer subject t	C
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

**Diodes Incorporated Common Stock** 

**Diodes Incorporated Common Stock** 

Diodes Incorporated Common Stock -

3. Transaction

Date (Month/Day/Year)

05/19/2021

05/20/2021

05/21/2021

3A. Deemed

Execution Date, if any (Month/Day/Year)

Performance Stock Units

2. Conversion or Exercise

Price of Derivative Security

\$19.27

\$19.27

\$19.27

1. Title of

Derivative Security (Instr. 3)

05/21/12 DIOD

NQSO

05/21/12 DIOD

NQSO

05/21/12

DIOD NQSO

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

				or Section 30(h) of	the Inve	stmer	t Company Ac	ct of 194	0				
1. Name and Address of Reporting Person* LU KEH SHEW				2. Issuer Name and Ticker or Trading Symbol DIODES INC /DEL/ [ DIOD ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
						<u> </u>	J			X Director		10% Owner	
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 05/19/2021						X Officer (giv below)		Other (specify below)	
4949 HEDGCOXE ROAD				05/19/2021						President & CEO			
SUITE 200													
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)			
PLANO	ТХ	75024								X Form filed by One Reporting Person Form filed by More than One Reporting			
		,											
(City)	(State)	(Zip)								Person			
		Table I -	Non-Deriva	tive Securities	Acqui	red,	Disposed	of, or	Benefic	ally Owned			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Diodes Incorporated Common Stock 05/19/2			05/19/2021		М		2,398	A	\$19.27	385,277	D		
Diodes Incorporated Common Stock 05/19/		05/19/2021		S		2,039	D	\$72	383,238	D			
Diodes Incor	porated Common S	tock	05/20/2021		М		20,000	Α	\$19.27	403,238	D		
Diodes Incor	porated Common S	tock	05/20/2021		S		8,500	D	\$73	394,738	D		
Diodes Incor	porated Common S	tock	05/20/2021		S		8,500	D	\$74	386,238	D		
Diodes Incor	porated Common S	tock	05/21/2021		М		10,000	Α	\$19.27	396,238	D		
Diodes Incor	porated Common S	tock	05/21/2021		S		8,500	D	\$75	387,738	D		
Diodes Incor	porated Common S	tock								46,150 <sup>(1)</sup>	I	Custodial	
Diodes Incorporated Common Stock									421,671	I	Lu Grandchildren's Trust		
D: 1 T	1.0											The Lu Family	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Date

Exercisable

05/26/2013

05/26/2013

05/26/2013

5. Number

Derivative

Securities

Acquired (A) or Disposed

of (D) (Instr 3, 4 and 5)

2,398

20,000

10,000

(A) (D)

Transaction Code (Instr.

8)

Code ν

М

Μ

Μ

6. Date Exercisable and

Expiration Date

05/21/2022

05/21/2022

05/21/2022

Title

Diodes ncorporated Common

Stock -Diodes Diodes ncorporated Common

Stock -Diodes Diodes

ncorporated

Common Stock -

Diodes

Expiration Date (Month/Day/Year)

Explanation of Responses:

1. These shares are held in accounts for the reporting persons minor grandchildren and the reporting person is the custodian of those accounts. The reporting person disclaims ownership of the shares held in those custodial accounts, and this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purposes.

Brett R. Whitmire as Power of 05/21/2021

Attorney for Keh-Shew Lu \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.